

Original Research Paper

Effects of Short Term *in vitro* Stress Hormone Exposure on Regulatory T Cell Number and Function in Asthma

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Abstract: Asthma is characterized by alterations in the immune system, including regulatory T cells (T_{reg}). Further alterations in T_{reg} numbers and/or function caused by stress hormones may be a contributing factor in asthma pathogenesis. We compared T_{reg} populations and the effects of Dexamethasone (DEX, a laboratory analog of cortisol) on T_{reg} cell number and function in patients with asthma and a control group. We isolated Peripheral Blood Mononuclear Cells (PBMC) from asthma patients ($n = 7$) and normal controls ($n = 8$) and quantified $CD4^+$, $CD4^+CD25^{hi}$ and $CD4^+CD25^{hi}FoxP3^+$ T_{reg} cells by flow cytometry. To determine the effects of *in vitro* stress hormones on T_{reg} number and function, we incubated PBMC with 10^{-9} M, 10^{-8} M and 10^{-7} M DEX for 24 h and then $CD4^+CD25^{hi}FoxP3^+$ T_{reg} were quantified by flow cytometry. To assess function, $CD4^+CD25^+$ were separated and added to cultures of bead-stimulated $CD4^+CD25^-$ cells and proliferation was measured and compared to the $CD4^+CD25^-$ cultures incubated with beads alone. The asthma group had significantly fewer $CD4^+CD25^{hi}$ and $CD4^+CD25^{hi}FoxP3^+$ cells than the control group. DEX significantly decreased T_{reg} number in the control group but not in the asthma group. DEX had no effect on $CD4^+CD25^+$ function in either group and the suppressive capacity of the $CD4^+CD25^+$ cells was no different between the asthma group and the normal control group. These data suggest that while asthmatics have fewer T_{reg} than normal controls, their function does not differ. These data also suggest that T_{reg} from asthmatics may be less susceptible to the effects of stress hormones.

Keywords: Regulatory T Cell, Stress, Asthma, Function

Introduction

Asthma is an inflammatory airway disease characterized by reversible airway obstruction and bronchial hyper responsiveness to typically innocuous environmental stimuli (Kudo *et al.*, 2013). Current data indicate that asthma affects more than 300 million people worldwide (Murdoch and Lloyd, 2010). Risk factors for the development of asthma include certain genetic factors (Lockett and Holloway, 2013), elevated serum IgE levels (Hayden, 2007), obesity (Baumann and Lorentz, 2013), cigarette smoking (Thomson *et al.*, 2004), environmental stress (Brooks *et al.*, 2013) and psychological stress (Chen *et al.*, 2006). Mediators of asthma exacerbations include numerous cells of the

immune system including eosinophils, mast cells, the IL4-producing Th2 cells and FoxP3⁺ regulatory T cells (Holgate, 2013).

Regulatory T cells (T_{reg}) are specialized $CD4^+$ cells that regulate the intensity and duration of immune responses to a variety of antigens (Sakaguchi *et al.*, 2009) and are thought to play a key role in the pathogenesis of asthma (Ray *et al.*, 2010). T_{reg} can regulate both Th1 (intracellular/anti-viral) and Th2 (extracellular/anti-allergen) responses (Ray *et al.*, 2010). FoxP3, an intracellular transcription factor and high levels of the surface antigen CD25 are the most specific markers for T_{reg} (Vent-Schmidt *et al.*, 2013). FoxP3 controls both the development and function of T_{reg} (Vent-Schmidt *et al.*, 2013). A complete absence of

FoxP3 expression results in severe autoimmunity and inflammation in both mice and man (Ray *et al.*, 2010). Less severe alterations in FoxP3 numbers and/or function have also been reported in numerous other diseases, including asthma, although the data are conflicting. Some studies report a decrease in T_{reg} number and function in asthma patients (Provoost *et al.*, 2009; Hartl *et al.*, 2007) compared to normal controls while others report no difference (Shi *et al.*, 2004; Bellinghausen *et al.*, 2003). Comparisons between studies are difficult due to differences in asthma phenotypes, differences in defining the T_{reg} population and the nature of the stimulation used in the functional assays.

Psychological stress has been implicated in the etiology and severity of inflammatory-based diseases, including asthma (Pokladnikova and Selke-Krulichova, 2013; Chen and Miller, 2007). Psychological stress induces the production of corticosteroids which are potent immunoregulators. Data from our lab indicate that short-term exposure to stress equivalent physiologic doses of Dexamethasone (DEX), a laboratory analog of cortisol, results in a decrease in IFN γ (a Th1 cytokine) production and an increase in IL4 (a Th2 cytokine) production (Salicru *et al.*, 2007), which is mechanistically consistent with the observation that stress can cause asthma exacerbations. A potential mechanism for the stress-induced alterations to IFN γ and IL4 is changes to T_{reg} number and/or function since these cells can control the responses to various effector T cell populations (Ray *et al.*, 2010). We recently reported that short-term DEX exposure resulted in a decrease in FoxP3 mRNA expression in healthy subjects (Xiang and Marshall, 2011). The effect of short term exposure of stress-physiologic doses of DEX on T_{reg} protein expression and function in asthmatic patients is not known.

Here we compared various CD4⁺ T cell populations in an asthmatic population with healthy controls. In addition, we sought to compare the effects of DEX on both T_{reg} numbers and function between asthmatics and healthy controls. An increased understanding of the effects of psychological stress on the cellular mechanisms that contribute to asthma pathogenesis can aid in the development of novel therapies targeted at reducing the occurrence and severity of asthma exacerbations in susceptible populations.

Materials and Methods

Subject Selection

Volunteers (asthma n = 7, healthy n = 8) were recruited after obtaining written informed consent according to a University of Mississippi Medical Center

Institutional Review Board approved protocol. In addition, all procedures were in accordance with the Helsinki Declaration of 1975. The characteristics of the population are shown in Table 1. Characteristics of the asthmatic population were not significantly different from the control population. Subjects were excluded for any past medical history of smoking, psychiatric illnesses, cardiovascular diseases, autoimmune diseases, or recent medical use (within the past 30 days) of systemic glucocorticoids, high dose inhaled corticosteroids, catecholamines and/or adrenergic antagonists. Asthma was confirmed by positive answer to the question: "Have you been told by a physician that you have asthma?"

PBMC Isolation

Venous blood was collected into heparinized tubes and PBMC were isolated using a ficoll-hypaque gradient as previously described (Rehm *et al.*, 2012). Briefly, cells were centrifuged at 650 g for 30 min, washed twice in HBSS and then resuspended in 1 mL cRPMI supplemented with 5% FBS. Cell counts were obtained using an automated hematology analyzer.

PBMC Cultures

Isolated PBMC were split into four equal groups and incubated either without DEX, or with DEX at concentrations of 10⁻⁷, 10⁻⁸ and 10⁻⁹ M (these concentrations are approximately equivalent to pharmacological concentration, stress physiological concentration and physiological concentration, respectively) (Agarwal and Marshall, 1998). Cells were incubated for 24 h at 37°C, 5% CO₂.

Isolation of CD4⁺, CD4⁺CD25⁻, CD4⁺CD25⁺ Cell Populations

To study T_{reg} functionality, total CD4⁺, CD4⁺CD25⁻ conventional T cells and CD4⁺CD25⁺ T_{reg} were isolated from the PBMC cultured with and without DEX. CD4⁺CD25⁺ are traditionally used as T_{reg} in these assays since it is impossible to isolate cells based on FoxP3 (the most definitive marker for T_{reg}) due to its intracellular expression. First, total CD4⁺ T cells were isolated by negative selection using the Human CD4⁺ T Cell Isolation Kit per the manufacturer's instructions (Miltenyi Biotech; San Diego, CA). From this CD4⁺ T cell population, CD25⁻ and CD25⁺ cells were separated using Dynal CD25 Microbeads per the manufacturer's instructions (Life Technologies; Grand Island, NY). The purity of all isolated populations was assessed by flow cytometry. A typical isolation using the CD4⁺ T cell Isolation kit was >95% CD4⁺ T cells and a typical isolation using the CD25 Microbeads resulted in a

population that was >85% CD4⁺CD25⁺ (data not shown). Cell numbers were determined using an automated hematology analyzer (Coulter).

Proliferation Assay

To determine the suppressive capacity of the isolated T_{reg} cells, a constant number of conventional T cells (CD4⁺CD25⁻) was added to wells of a round-bottom 96 well plate and then varying numbers of T_{reg} (CD4⁺CD25⁺) were added in triplicate. For this paper we used ratios of 1:1 and 1:2 for CD4⁺CD25⁻ to CD4⁺CD25⁺ cells. The CD4⁺CD25⁻ cells were stimulated using the T cell Activation and Expansion Kit (Miltenyi Biotech; San Diego, CA). Anti-biotin beads were pre-loaded with antibodies to CD2, CD3 and CD28 (5 µg per antibody per 1 mL of beads) and were added at a concentration of 1 bead per 1 cell CD4⁺CD25⁻. Control wells included CD4⁺CD25⁻ cells incubated alone with beads, CD4⁺CD25⁺ cells incubated alone with beads, CD4⁺CD25⁻ and CD4⁺CD25⁺ cells incubated alone with no beads and media only. The plate was incubated for 3 days, at which time 10 µL of MTS/PMS (2 mg mL⁻¹ MTS, 0.1 mg mL⁻¹ PMS; Promega) was added, followed by an additional 24 h incubation at 37°C and 5% CO₂ (Rehm *et al.*, 2010). The colored product that is produced by proliferating cells was then read on a spectrophotometer at 490 nm.

Flow Cytometry

Cell populations were analyzed for expression of CD4, CD25 and FoxP3. Cell populations were incubated for 30 min with surface antibodies for CD4 and CD25, washed in flow buffer and fixed with 1% Paraformaldehyde (PFA). For detection of FoxP3, fixed cells were washed and then resuspended in permeabilization buffer (0.5% saponin in PBS) and anti-FoxP3. The cells were then washed and resuspended in 1% PFA. Positively stained cells were identified by the simultaneous analysis of isotype-matched control antibodies. Representative plots are depicted in Fig. 1. First Forward and Side Scatter were used to gate total PBMC (Fig. 1A). PBMC were then analyzed for CD4⁺ and CD25⁺ expression (Fig. 1B). For CD4⁺ cells, the total percentage of cells in the CD4⁺ gates (E and F on the plot) were added together. The percentage of CD4⁺CD25^{hi} cells were taken from Gate G (Fig. 1B). To determine the percentage of CD4⁺CD25^{hi}FoxP3⁺ cells, both the E (Fig. 1C) and G (Fig. 1D) gates were analyzed for CD25 and FoxP3 expression. Gating for FoxP3 was first done on the CD4⁺CD25⁻ plot (Fig. 1C) and was set at 10%. Same gating parameters were then set to the CD4⁺CD25^{hi} plot (Fig. 1D). The percentage of CD4⁺CD25^{hi}FoxP3⁺ was calculated using the following equation:

$$\frac{\text{Total number of cells FoxP3}^+\text{CD25}^{\text{hi}}}{\text{Total number of CD4}^+\text{ cells}} \times 100$$

Statistical Analysis

Normality was tested using the Shapiro-Wilk test and all data were determined to be normally distributed (data not shown). Descriptive statistics were computed as means (standard deviation) for continuous variables and frequency counts and percentages for categorical variables. Pearson chi square was used to determine statistical differences in categorical variables (race and gender) between groups and a two-tailed independent samples t test was used to compare means of continuous variables between groups. A p value <0.05 was considered significant. All statistics were computed with SPSS 22.

Results

T cell Populations in Asthma and Normal Controls

We isolated PBMC from 7 asthmatic patients and 8 normal controls and measured various CD4⁺ T cell subpopulations. Representative flow cytometric plots are shown in Fig. 1 and gating strategy is described in the Materials and Methods section. There was no difference in the percentage of total CD4⁺ T cells between the normal controls (53.9%) and the asthma group (44.6%; p = 0.128) (Fig. 2A). Asthmatics had significantly less (p = 0.002) CD4⁺CD25^{hi} T cells (1.3%) compared to the normal controls (2.4%) (Fig. 2B). Asthmatic patients also had significantly less (p = 0.019) CD4⁺CD25^{hi}FoxP3⁺ (T_{reg}) in their PBMC (1.86%) compared to the normal control group (3.2%) (Fig. 2C).

Effects of Short-Term DEX Incubation on T_{reg} Populations in Asthmatic and Normal Patients

Next, we compared the effects of DEX incubation on T_{reg} numbers in asthmatics and normal controls (Fig. 3). For normal controls, 24 h incubation with 10⁻⁹ M DEX did not result in a significant change in T_{reg} numbers (p = 0.401) but there was a significant decrease in T_{reg} after incubation for 24 h at 10⁻⁸ M DEX (from 3.2 to 2.14%; p = 0.022) and 10⁻⁷ M DEX (from 3.2 to 1.6%; p = 0.002; Fig. 3). On the other hand, there was no significant change in T_{reg} in the asthma group after incubation at 10⁻⁹ M DEX (from 1.86 to 1.7%; p = 0.77), 10⁻⁸ M DEX (from 1.86 to 1.24%; p = 0.22), or 10⁻⁷ DEX (from 1.86 to 1.03%; p = 0.08; Fig. 3). Asthmatics had significantly less T_{reg} compared to the normal controls in the No DEX group (normal: 3.2% Vs asthma: 1.86%; p = 0.019) and after incubation with 10⁻⁸ M DEX (normal: 2.1% Vs asthma: 1.2%; p = 0.032; Fig. 3).

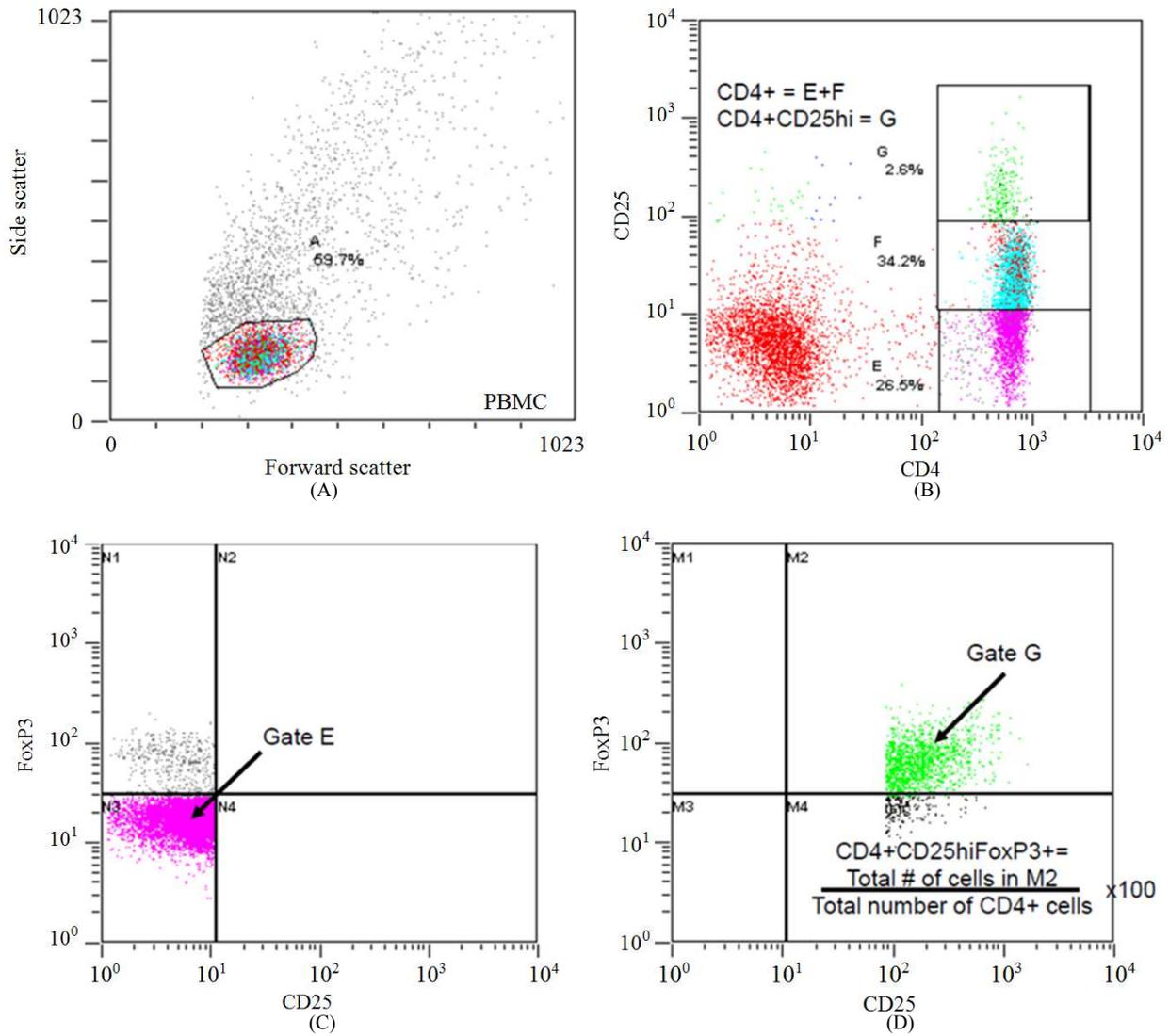
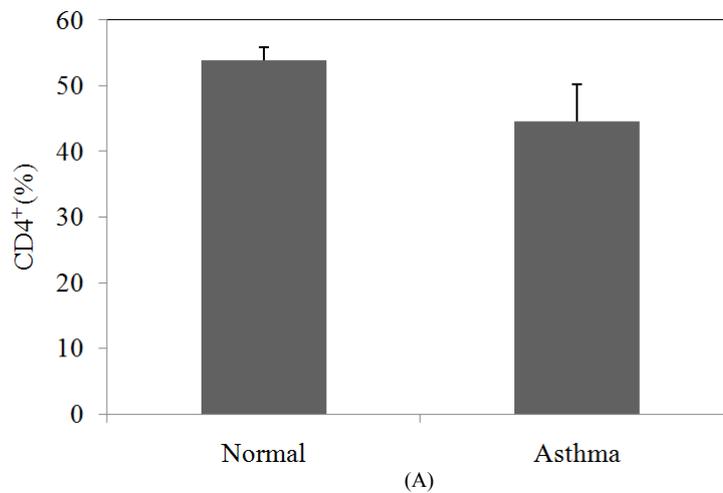


Fig. 1. Representative flow cytometry dot plots. PBMC (A) were analyzed for C4 and CD25 expression (B) $CD4^+CD25^-$ and $CD4^+CD25^{hi}$ were then analyzed for FoxP3 expression (C and D)



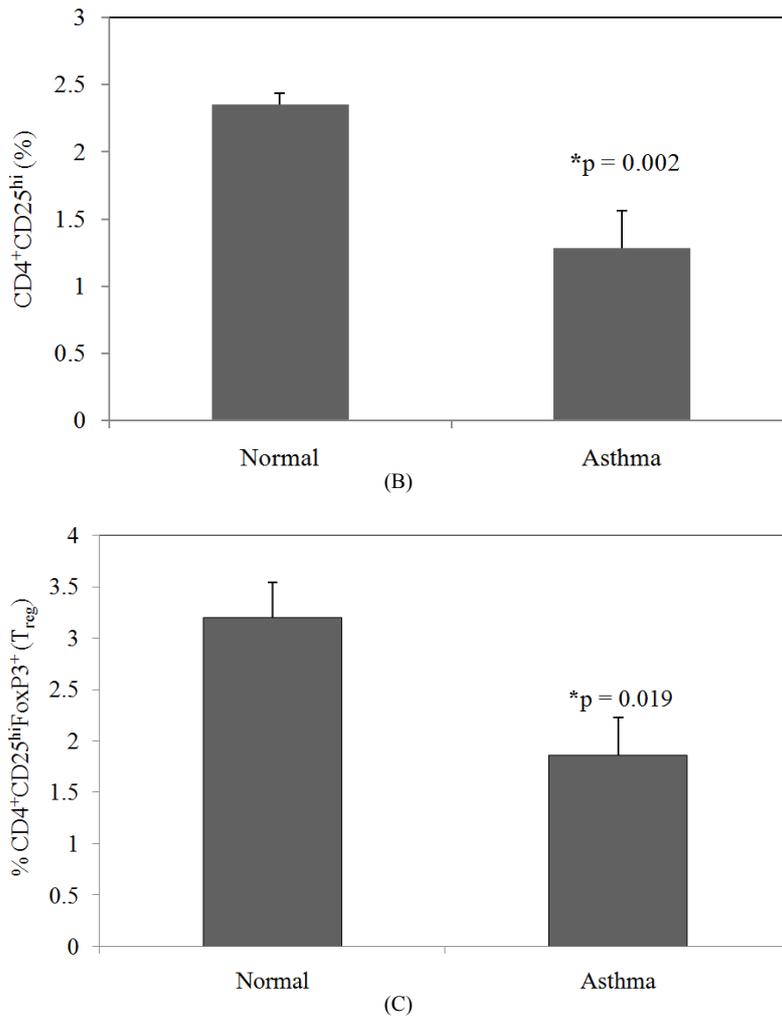


Fig. 2. T cell subpopulations in asthma patients and normal controls. Comparison of the percentage of CD4⁺ (A) CD4⁺CD25^{hi} (B) and CD4⁺CD25^{hi}FoxP3⁺ (C) in the PBMC of asthma patients and normal controls. *p<0.05

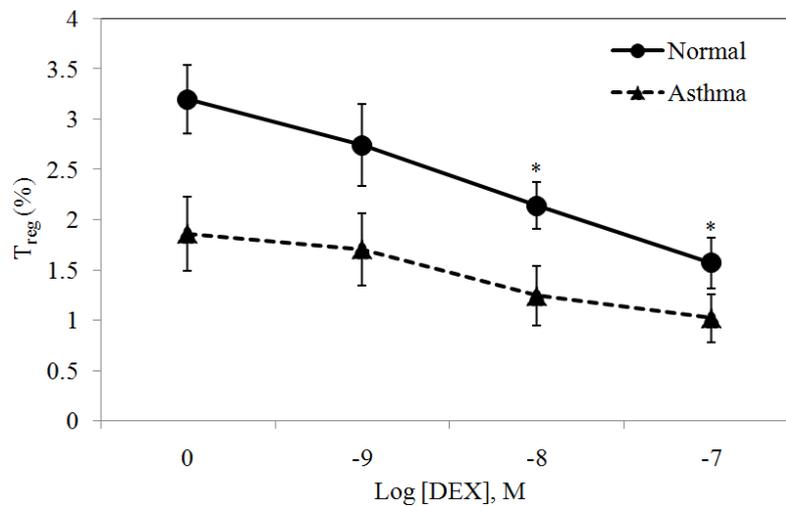


Fig. 3. Effects of short-term DEX incubation on T_{reg} numbers in asthma patients and normal controls. PBMC were incubated for 24 h with 10⁻⁹, 10⁻⁸ and 10⁻⁷ M DEX and then CD4⁺CD25^{hi}FoxP3⁺ cells (T_{reg}) were quantified by flow cytometry. *p<0.05

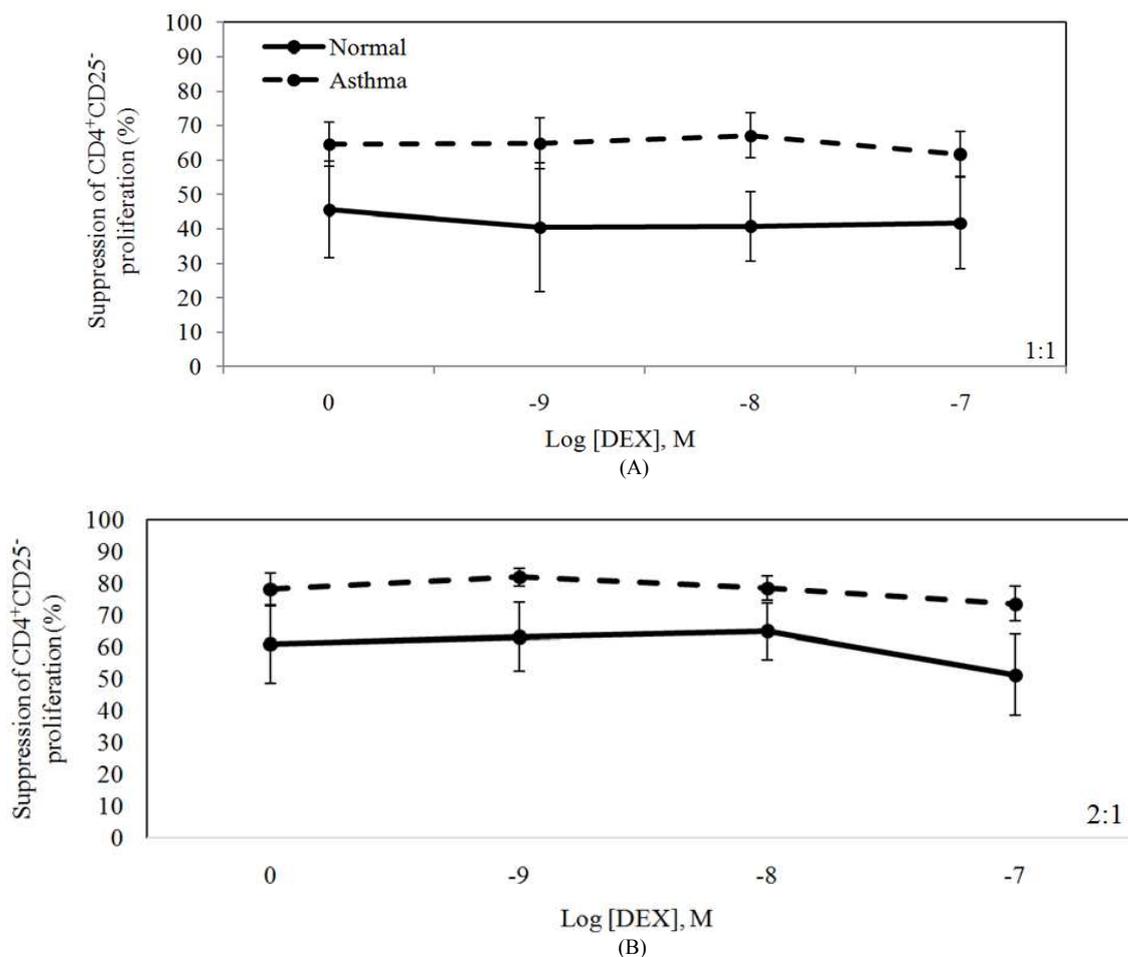


Fig. 4. Effects of short-term DEX incubation on CD4⁺CD25⁺ T cell function. PBMC were incubated for 24 h with 10⁻⁹, 10⁻⁸ and 10⁻⁷ M DEX and then CD4⁺CD25⁺ cells and CD4⁺CD25⁻ cells were isolated and added to culture at both 1:1 (A) and 2:1(B) ratios. CD4⁺CD25⁺ cells from asthma patients and normal controls did not differ in their ability to suppress CD4⁺CD25⁻ proliferation

Table 1. Participant characteristics

	Normal (n = 8)	Asthma (n = 7)
Age (yrs)	37.1 (9.5)	36.3 (11.5)
BMI (kg/m ²)	27.5 (4.3)	31.9 (8.9)
Gender		
Female	7 (88%)	5 (71%)
Male	1 (12%)	2 (29%)
Race		
Caucasian	5 (63%)	4 (57%)
African American	3 (37%)	3 (43%)

Values are expressed as mean (SD) for continuous variables and n (%) for categorical data

Effects of DEX on the Ability of CD4⁺CD25⁺ Regulatory T Cells to Inhibit Proliferation of Conventional T Cells in Normal and Asthma Subjects

We next performed add-back experiments to determine if T_{reg} function was affected by short-term

incubation with DEX and whether any effect was different between asthmatics and normal controls. DEX at any concentration had no significant effect on the ability of CD4⁺CD25⁺ T cells to suppress the proliferation of the CD4⁺CD25⁻ T cells in either population compared to the No DEX control (Fig. 4). In the No DEX group, addition of one CD4⁺CD25⁺ T cell for every one CD4⁺CD25⁻ cell resulted in a 46% reduction in proliferation of CD4⁺CD25⁻ cells in the normal controls and a 65% reduction in proliferation of CD4⁺CD25⁻ cells in the asthma group (compared to the proliferation of CD4⁺CD25⁻ cells incubated without CD4⁺CD25⁺ cells) (Fig. 4A). Although this was a fairly large difference, it did not reach statistical significance (p = 0.258). There was a borderline significant difference (p = 0.059) in suppression capability between the asthmatic population (67%) versus the control population (40%) at the stress-physiologic (10⁻⁸ M) DEX dose when the CD4⁺CD25⁻ and CD4⁺CD25⁺

cells were added at a 1:1 ratio. There were no differences in T_{reg} functionality between asthmatics and normal controls observed at either the 10^{-9} M ($p = 0.263$) or 10^{-7} M ($p = 0.221$) DEX concentrations. There were no significant differences between asthma and normal controls when twice the number of $CD4^+CD25^+$ T_{reg} cells were added to the $CD4^+CD25^-$ cell cultures (Fig. 4B). $CD4^+CD25^+$ T cells were stimulated with beads in the absence of $CD4^+CD25^-$ cells and did not show a proliferative response (data not shown), indicating that these cells were not activated by the beads used in this assay.

Discussion

Stressful life events have been associated with an increased incidence and activity of inflammatory-based diseases such as asthma. Stress has been associated with the onset of asthma symptoms (Wright *et al.*, 2004), the enhancement of skin test reactivity to allergens (Kiecolt-Glaser *et al.*, 2009) and increased feelings of breathlessness (Rietveld *et al.*, 1999). Our group has been interested in the effects of both chronic and acute stress hormone exposure on cells associated with the asthma phenotype for the past 15 years. In an 11-day tetanus culture, DEX decreases the production of $IFN\gamma$ (a Th1 cytokine) and concomitantly increases the production of IL4 (a Th2 cytokine) (Salicru *et al.*, 2007), which is consistent with the mechanisms involved in the etiology and severity of asthma. We hypothesized that this DEX-induced Th1/Th2 immune dysregulation may be due to alterations in T_{reg} numbers and/or function since T_{reg} are known to regulate the balance between Th1 and Th2 effector cytokines (Ray *et al.*, 2010).

In this study, we compared both the number and function of regulatory T cells in a group of asthmatics with a group of non-asthmatic controls. We also characterized the effects of short term stress hormone exposure on both T_{reg} number and function in these populations. We found that asthmatics had significantly less $CD4^+CD25^{hi}$ and $CD4^+CD25^{hi}FoxP3^+$ T cells than the normal controls. In addition, we found that T_{reg} number was more dramatically decreased by DEX in the normal group compared to the asthma group. Finally, we report that T_{reg} function was not different between the normal and the asthma groups and that DEX had no effect on T_{reg} function. These data suggest that interventions that target expansion of the T_{reg} compartment, either pharmacological or psychological, may be an effective strategy for treating asthma.

Asthma is characterized by changes to systemic immunity and some studies have indicated alterations in T_{reg} cell numbers as being important contributors to the asthma phenotype (Provoost *et al.*, 2009; Lee *et al.*, 2007). T_{reg} are specialized cells that can broadly regulate the activity of other immune cells. It is

thought that reduced numbers of T_{reg} in asthma have the downstream effect of an increase in Th2 activity. While this is a plausible hypothesis, there are other reports of no alterations in T_{reg} cells numbers in asthma (Bellinghausen *et al.*, 2003; Shi *et al.*, 2004; Sweilam *et al.*, 2008). Conflicting reports on differences in the quantity of T_{reg} populations in asthma patients compared to controls may be due, at least in part, to differences in defining the T_{reg} population. Since $CD4^+CD25^{+hi}$ T cells (commonly used in defining T_{reg} the population) may contain a mixture of T_{reg} and effector $CD4^+CD25^-$ T cells, FoxP3 is now widely used as the most specific marker for T_{reg} in humans. Studies have indicated that both FoxP3 mRNA (Lin *et al.*, 2008, Hartl *et al.*, 2007) and protein expression (Provoost *et al.*, 2009; Lluís *et al.*, 2013) are reduced in asthma populations. Our data presented here are consistent with these studies.

T_{reg} numbers were affected by DEX incubation in both asthmatics and normal controls, although this effect was much less dramatic in the asthmatics. Previously, we have reported that DEX does not induce apoptosis in short term cell cultures (Agarwal and Marshall, 1998). For the normal controls, DEX reduced T_{reg} numbers significantly at the 10^{-8} M dose, while the T_{reg} in the asthma group were only trending toward a significant reduction when incubated with the highest concentration of DEX (10^{-7} M). These data suggest that T_{reg} numbers in this population of asthma patients, while significantly lower than the normal controls, are not as susceptible to the effects of DEX. It is possible that stress levels in this group of asthma patients were higher than the normal controls. This higher level of stress (and resulting higher levels of circulating stress hormones) may have suppressed T_{reg} numbers *in vivo*, making these cells resistant to further suppression by *ex vivo* DEX. In support of this hypothesis, asthmatics have been shown to have higher stress levels when compared to healthy controls (Katon *et al.*, 2004). Future experiments will determine the influence of stress levels and circulating *in vivo* stress hormones on T_{reg} numbers and whether this has an impact on altered T cell populations in asthma patients. A finding of a direct impact of *in vivo* stress levels on the cells involved in the path mechanisms of asthma would have significant clinical implications for the management of this disease.

While T_{reg} number was significantly different between the two groups, T_{reg} functionality was not. In addition, incubation with a range of DEX concentrations did not alter T_{reg} function in either population. Impaired functionality of T_{reg} has been reported in asthma patients and is proposed as a potential mechanism for the defective Th2 response in asthma. These studies of the differences in T_{reg} functionality in asthma patients Vs. normal controls are difficult to compare due to

differences in the specific model used to assess suppression. Hartl *et al.* (2007) isolated effector T cells and T_{reg} from the PBMC of normal and asthmatic children and stimulated the CD4⁺CD25⁻ T cells with anti CD3, anti CD28 and IL4 and found no difference in the suppressive capacity of the CD4⁺CD25⁺ T_{reg} between the two groups. Another study found that T_{reg} from the PBMC of asthma patients were functionally impaired when effector cells were stimulated with Der p3 extract (Lin *et al.*, 2008). Although FoxP3 is the definitive marker for T_{reg}, it is impossible to use in assays that separate T_{reg} from effector T cells due to its intracellular expression. Thus, each laboratory has its own methodology to isolate “T_{reg}” based on a combination of CD4, CD25 and CD127 and these isolated “T_{reg}” are likely contaminated with varying numbers of effector cells that may skew the results of suppression assays and may partially account for discrepancies in the data between labs. We separated CD4⁺CD25⁺ T cells with a commonly used bead-based system and then activated effector cells with beads containing anti-CD2, -CD3 and -CD28. Activation of effector T cells with bead-bound antibody may not reflect the ability of the T_{reg} to control the response to specific antigens and allergens. Our data show that function was not affected by DEX in either group. Interestingly, function was enhanced in asthma patients but this did not reach statistical significance in the No DEX cultures and was only borderline significant in the 10⁻⁸ M DEX cultures. We hypothesize that Treg function may be slightly enhanced in this population to compensate for the reduced numbers, although our small sample size is certainly a limitation and a larger sample size is needed to fully explore this hypothesis. Whether these differences are due to differences in *in vivo* stress hormone levels is also a matter of future investigation.

Conclusion

This pilot study shows that T_{reg} numbers are reduced while T_{reg} function is not different in asthma patients compared to a normal control group. Further, we show that T_{reg} from asthma patients are less dramatically affected by incubation with DEX. Delineating the cellular mechanisms that contribute to asthma and how modifiable risk factors (such as increased stress) can impact these mechanisms is crucial for the development of novel therapies. Future experimental therapies for asthma may target the expansion of the T_{reg} population through stress management.

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Author Contributions

Kristina E. Rehm conducted all of the assays and did all of the statistical analyses presented in this study. She also took the lead in preparing this manuscript. Gailen D. Marshall acted as a mentor to Kristina E. Rehm in designing the assays that are presented in this study. He also assisted Kristina E. Rehm in preparing the manuscript.

Conflict of Interest

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

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