American Journal of Applied Sciences 7 (4): 568-574, 2010 ISSN 1546-9239 © 2010Science Publications

# Evaluating the Maintenance Performance of the Semiconductor Factories Based on the Analytical Hierarchy Process and Grey Relational Analysis

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Abstract: Problem statement: Maintenance is an important factor in semiconductor factories, not only because of costs and the need for the uninterrupted operation of semiconductor equipment, but also the time and expense required for maintenance. If maintenance procedures are not performed properly, the equipment will have low efficiency or break down, production capacity will decrease and the company will incur extra costs. Therefore, the evaluation of maintenance performance has become a critical issue in semiconductor industries. Approach: This study evaluated maintenance performance by using the Analytical Hierarchy Process (AHP), Grey Relational Analysis (GRA) and the Technique for Order Preference by Similarity to Ideal Solution (TOPSIS). The weight of maintenance indicators was derived by AHP method, which were input to the GRA and TOPSIS method for evaluate the performance of Condition-Based Maintenance (CBM) and Time-Based Maintenance (TBM) strategies. Results: Actual data was provided by a well-known semiconductor factory in Taiwan. This study evaluated and compared the performance of different maintenance strategies implemented in semiconductor companies. Empirical results indicated that the CBM strategy had better maintenance performance than the TBM strategy in semiconductor companies and the maintenance indicators which should be improved were also identified. Conclusion/Recommendations: The feasibility of the maintenance evaluation method was demonstrated through an actual scenario, which can help managers make decisions objectively and distinguish the advantages and disadvantages of the maintenance strategy.

Key words: Maintenance, analytical hierarchy process, grey relational analysis, performance evaluation, semiconductor factories

# INTRODUCTION

Once an equipment or system has been build up, it should be maintained for efficiency and workability. Maintenance program can be regarded as a production sustainable function to prevent the low yield and failure of system. The tendency towards completed automation forces managers to emphasize the evaluation of maintenance performance.

Maintenance plays an important role in semiconductor factories, not only because semiconductor equipment is expensive and needs to operate uninterrupted, but also because the time and expense of maintenance is a great cost for semiconductor factories. If maintenance procedures are not performed properly, the equipment will have low efficiency or break down, production capacity will decrease and the factory will incur extra costs. Therefore, the evaluation of maintenance performance has become an important issue in semiconductor factories. Maintenance performance is difficult to evaluate and depends significantly on various resources in an organization, including the supplement of spare parts and other maintenance materials, manpower, necessary tools and correct instruments and timing for maintenance.

An appropriate evaluation of maintenance performance can help managers improve maintenance efficiency and reduce the costs and risks of system repair. Although the performance evaluation of system maintenance is so important, most studies focus on the scheduling and the cost analysis of maintenance (Yao *et al.*, 2004; Crespo Marquez *et al.*, 2006; Sloan and Shanthikumar, 2002; Camci, 2009; Levin *et al.*,

**Corresponding Author:** Yun-Chin Chen, Department of Industrial Engineering and Engineering Management, National Tsing Hua University, 101, Section 2, Kuang-Fu Road, Hsinchu, Taiwan 30013, Republic of China Tel: +886 922201151 Fax: +886 23765 3639 2008; Marmier et al., 2009; Aissani et al., 2009; Abiri-Jahromi et al., 2009; Lee, 2005; Chen and Jin, 2003; Bris et al., 2003; Park and Jung, 2002; Eti et al., 2006; El-Said, 2008). Yao et al. (2004) proposed a mixedinteger programming model for optimal scheduling the preventive maintenance tasks in fabs, which can help manager to eliminate the mistakes and errors. Crespo Marquez et al. (2006) used the Monte Carlo simulation to solve the preventive maintenance scheduling problem in semiconductor fabrication factory. El-Said (2008) analyzed the cost of preventive maintenance by using the Kolmogorov's forward equations. Relatively few studies focus on the performance evaluation of maintenance (Paulsen et al., 1997; Jooste, 2007; Martorell et al., 1999) and studies for the semiconductor industry are even fewer. Paulsen et al. (1997) applied the subsurvival functions to evaluate the maintenance performance of nuclear reactors and the preventive and corrective maintenance failure modes were competed. Jooste (2007) describes the survey of the maintenance performance and achievement in the South African manufacturing industry. Martorell et al. (1999) developed a maintenance evaluation method based on maintenance indicators of the nuclear power plants.

Hence, this study evaluates maintenance performance of semiconductor factories by applying the Analytical Hierarchy Process (AHP), Grey Relational Analysis (GRA) and the Technique for Order Preference by Similarity to Ideal Solution (TOPSIS). Actual data was collected from a well-known semiconductor factory in Taiwan. This study aims to evaluate the performance of Condition-Based Maintenance (CBM) and Time-Based Maintenance (TBM) strategies in semiconductor factory and identifying maintenance indicators which should be improved. The feasibility of the maintenance evaluation method is demonstrated through an actual scenario, which can help managers make decisions objectively and distinguish the advantages and disadvantages of maintenance strategy.

## MATERIALS AND METHODS

This study used the AHP, GRA and the TOPSIS concepts to help managers to make proper decisions in evaluating maintenance performance. This investigation consists of three stages, including (1) the maintenance indicators identification stage, (2) performance evaluation stage and (3) comparison and analysis stage. Figure 1 illustrates the complete structure of this study.

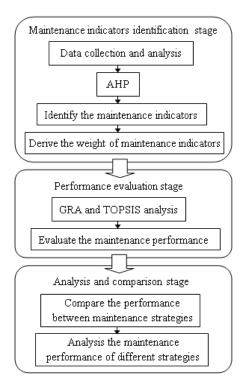


Fig. 1: Structure of this study

**Maintenance indicators identification stage:** In this stage, the data and information of the maintenance process was collected and the literature on the performance indicators for maintenance management was reviewed. After discussion with experts and the managers of the subject company, the maintenance indicators were selected based on Wireman (2005) research and the AHP method was applied to rank and derive the weights of these maintenance indicators. These indicators then served as the input variables of the GRA and the TOPSIS process in the next stage.

The AHP, which is a decision making method for dealing with complicated, uncertainty and multipleattribute judgment, was developed by Saaty (1990). AHP analyzed the focused problem into several hierarchies, the objective purpose is placed at the top of the hierarchies, and the elements and alternatives are established based on hierarchies. The main procedures of AHP are illustrated as follows (Saaty, 1990).

**Develop the overall hierarchical structure of the focused problem:** The user must set up the objective and elements to construct the overall hierarchical structure, analyzing the complicated problem into several hierarchies and elements. **Construct a pairwise comparison matrix and examine its consistency:** The evaluators were made pairwise comparisons of the relative importance of elements using the 9-point scale of questionnaire. The pairwise comparison matrix was built based on the results of the questionnaire and the eigenvalue and the eigenvector were derived for checking the consistency of comparison matrix.

The Consistency Ratio (CR) is calculated by the ratio of the Consistency Index (CI) and the Random Index (RI). Equation 1 measures the CI and CR values. The CR should be small than 0.1 to certify consistency and larger values of CR may need the evaluator to check his judgments:

$$CI = \frac{\lambda_{max} - n}{n - 1}, \qquad CR = \frac{CI}{RI}$$
 (1)

Where:

 $\lambda_{max}$  = The maximum eigenvalue of the matrix

n = The size of matrix and the value of RI for corresponding matrix size can be obtained from Saaty (1990) research

**Calculate the weights of the elements and the hierarchical levels:** After calculating the weights of each element and hierarchy, the user can make a decision based on the significance and weights revealed by the hierarchies and elements.

**Performance evaluation stage:** Maintenance strategies are generally demarcated into time-based and condition-based maintenance strategies. The Time-Based Maintenance (TBM) strategy is executed at constant time intervals to prevent the failure of machines during operation. The Condition-Based Maintenance (CBM) strategy analyzes the machine condition to determine the timing of maintenance.

The combination of the GRA and the TOPSIS method which was proposed by Chen and Tzeng (2004) was applied to understand and evaluate the maintenance performance of the TBM and CBM strategies, the fivescale was designed for evaluators to identify the performance of the maintenance indicators of the TBM and CBM strategies, and the scale terms from the first to the fifth, are "greatly dissatisfied", "dissatisfied", "fair", "satisfied", and "greatly satisfied", respectively, the weight of maintenance indicators derived by AHP and the statistical results of five-scale forms were then input to the GRA and TOPSIS process for analysis, the main procedures are illustrated as follows (Chen and Tzeng, 2004):  Calculate the Grey Relational Coefficient (GRC) of the ideal and negative ideal solutions of maintenance performance for the TBM and CBM strategies:

$$\begin{split} \gamma(A^{+}(j),A_{i}(j)) &= \\ \min_{i} \min_{j} |A^{+}(j) - A_{i}(j)| \\ &+ \zeta \max_{i} \max_{j} |A^{+}(j) - A_{i}(j)| \\ &|A^{+}(j) - A_{i}(j)| \\ &+ \zeta \max_{i} \max_{j} |A^{+}(j) - A_{i}(j)| \end{split}$$
(2)

$$\gamma(A^{-}(j), A_{i}(j)) = \min_{i} \min_{j} |A^{-}(j) - A_{i}(j)| + \zeta \max_{i} \max_{j} |A^{-}(j) - A_{i}(j)| |A^{-}(j) - A_{i}(j)| + \zeta \max_{i} \max_{i} |A^{-}(j) - A_{i}(j)|$$
(3)

The mathematical symbol is illustrated as follows (Chen and Tzeng, 2004):

$\gamma(A^{+}(j),A_{i}(j))$	= The ideal and negative ideal				
	$\gamma(A^{\scriptscriptstyle -}(j),A_{\scriptscriptstyle i}(j))$ solutions of the GRC				
	for each alternative, respectively				
$A^+$	= The most superior alternative				
$A^{-}$	= The most inferior alternative				
i	= The number of alternatives				
j	= The number of evaluation criteria				
ζ	= The discrimination coefficient,				
	which was predetermined as 0.5				

• Derive the Grey Relational Grade (GRG) of the ideal and the negative ideal solutions of the maintenance performance for the TBM and CBM strategies using the following equations:

$$\gamma(A^{+}, A_{i}) = \sum_{j=1}^{n} w_{j} \gamma(A^{+}(j), A_{i}(j))$$
(4)

$$\gamma(A^{-}, A_{i}) = \sum_{j=1}^{n} w_{j} \gamma(A^{-}(j), A_{i}(j))$$
(5)

$$\sum_{j=1}^{n} w_j = 1 \tag{6}$$

Where:

 $\gamma(A^+, A_i)$  = The ideal and negative ideal solutions  $\gamma(A^-, A_i)$  of the GRG for each alternative, respectively

- w<sub>j</sub> = The weight of the maintenance indicators obtained by the AHP method in previous stage
- n = The total number of selected maintenance indicators
- Obtain the relative closeness distance (C<sub>i</sub>), which measures the closeness of an alternative to the ideal solution, using the following equations (Chen and Tzeng, 2004):

$$C_{i} = \frac{\gamma(A^{+}, A_{i})}{\gamma(A^{-}, A_{i})}$$
(7)

• Determine the alternative priority according to the C<sub>i</sub> of each alternative, where a higher value of C<sub>i</sub> represents a higher priority of an alternative

After the GRA and TOPSIS analysis process, the performances of the TBM and CBM strategies were evaluated and the performances of maintenance indicators in the strategies were identified. The results of GRA and TOPSIS process are analyzed and compared in the next stage.

**Analysis and comparison stage:** This stage compares and analyzes the achievement of maintenance indicators and the maintenance performance of different strategies. Using these results, managers can make decisions to improve their maintenance performance.

## RESULTS

This study collected actual data from a famous semiconductor factory in Taiwan. According to the analyzed maintenance data and discussion with experts and the managers of the subject company, the maintenance indicators were identified and their weights were derived by the AHP method. A questionnaire based on AHP was distributed to 37 managers and engineers responsible for maintenance management. After questionnaire collection and analysis through the AHP method, the descriptions and weights of maintenance indicators are shown in Table 1, while Table 2 presents the results of the consistency test.

Based on the result of Table 1, the maintenance indicator with the highest weight is the "the ratio of completed tasks to the scheduled tasks", while the one with the lowest weight is the "total number of rush spare part purchasing orders". The ranking of these maintenance indicators according to importance can help managers evaluate and control maintenance conditions efficiently. Table 2 shows that the CI and the CR of all hierarchy are less than 0.1, which are acceptable results.

In the subject company, the TBM and CBM strategies were used to maintain production line equipment. The TBM strategy focused on the equipment which can be interrupted during production, whose utility rate was not so high, while the CBM focused on equipment which cannot be interrupted during production, whose utility rate was very high. To better understand the performance of maintenance indicators in the TBM and CBM strategies, the five-scale form was designed based on the maintenance program and distributed to 37 maintenance managers. After these forms were collected and analyzed, the performance evaluation of indicators in the TBM and CBM strategies were derived. Table 3 presents the average value of fivescale form between strategies.

Table 1: Overall weight and ranking of the maintenance indicators

		Overall	
Dimension/weight	Maintenance indicator (monthly)	weight	Ranking
Preventive maintenance	The ratio of completed tasks to the scheduled tasks	0.3831	1
0.4894	The ratio of breakdowns caused by items that should be inspected to the total number of breakdowns	0.1063	2
Spare parts inventory	Dollar amount of spare part usage	0.0480	5
and procurement 0.1274	Total number of the spare part purchasing orders not filled on demand	0.0481	4
	Total number of the spare part purchasing orders filled on demand	0.0201	13
	Total number of rush spare part purchasing orders	0.0112	17
Maintenance planning	Total number of planned maintenance work order	0.0444	6
and scheduling 0.1757	Total scheduled hours of maintenance work.	0.0320	10
	Total hours estimated on scheduled maintenance work orders	0.0245	12
	Total number of completed maintenance work order	0.0380	8
	Total number of completed maintenance work order that exceeding the estimated material cost	0.0183	15
	Total number of overdue maintenance work order	0.0186	14
Maintenance operational	Total hours of equipment repair	0.0424	7
involvement 0.1090	Total hours of actual maintenance work	0.0366	9
	Total hours of preventive maintenance work	0.0300	11
The reliability of	Number of equipment breakdowns	0.0807	3
maintenance 0.0985	Number of repetitive equipment failures	0.0177	16

Afterwards, the weights of maintenance indicators derived by AHP were substituted into the combination of GRA and TOPSIS method, and the GRC of the ideal and negative ideal solutions of the indicators were calculated. Table 4 presents the GRC of the maintenance strategies.

Table 4 presents the indicator performance of maintenance strategies after considering the weights of indicators. According to the Table 4, we can easily evaluate and compare the performance of the TBM and CBM strategies.

The closer to 1 of GRC in ideal solution, the better performance of the indicator shows. The closer to 1 of GRC in negative ideal solution, the worse performance of the indicator presents. Therefore, TBM strategy has better performance in "total number of planned maintenance work order" than other indicators, while the CBM strategy has better performance in "total number of rush spare part purchasing orders" and "total hours estimated on scheduled maintenance work orders" than other indicators. The indicator which both strategies must improve is "total hours of preventive maintenance work", which means that the scheduling and the time control of preventative maintenance work should be improved. The evaluators can compare the performance of each indicator between maintenance strategies. The ideal solutions of the CBM strategy are mostly greater than those of the TBM strategy and the negative ideal solutions of the CBM strategy mostly smaller than those of the TBM strategy.

In addition, the GRG and the relative closeness distance of the TBM and CBM strategies were also derived, as shown in Table 5.

Table 5 shows that the 1.2143 of CBM is greater than the 0.9677 of TBM in the relative closeness distance, which indicates that the CBM strategy has better overall maintenance performance than the TBM strategy.

Table 2:	Consistency	test result	of the	hierarchy

Examined dimension	CI	CR
Preventive maintenance	0.0000	0.0000
Spare parts inventory and procurement	0.0875	0.0972
Maintenance planning and scheduling	0.0991	0.0799
Maintenance operational involvement	0.0171	0.0295
The reliability of maintenance	0.0000	0.0000

Table 3: The average value of five-scale form between strategies

	Maintenance strategy	
Maintenance indicators	ТВМ	CBM
The ratio of completed tasks to the scheduled tasks	3.7838	3.7568
The ratio of breakdowns caused by items that should	3.4324	3.4595
be inspected to the total number of breakdowns		
Dollar amount of spare part usage	3.5676	3.4865
Total number of the spare part purchasing orders	3.4054	3.3514
not filled on demand		
Total number of the spare part purchasing orders	3.8378	3.5676
filled on demand		
Total number of rush spare part purchasing orders	3.7297	3.8108
Total number of planned maintenance work order	4.0541	3.5135
Total scheduled hours of maintenance work.	3.8649	3.6216
Total hours estimated on scheduled maintenance	3.7568	3.8108
work orders		
Total number of completed maintenance work order	3.7838	3.5676
Total number of completed maintenance work order	3.7838	3.7027
that exceeding the estimated material cost		
Total number of overdue maintenance work order	3.7027	3.3514
Total hours of equipment repair	3.7568	3.5946
Total hours of actual maintenance work	3.0811	3.1622
Total hours of preventive maintenance work	3.0000	2.5676
Number of equipment breakdowns	3.1351	3.4054
Number of repetitive equipment failures	3.2432	3.5676

Table 4: The GRC of the maintenance strategies

	Maintenance strategy			
	TBM		СВМ	
Maintenance indicators	Ideal solution	Negative ideal solution	Ideal solution	Negative ideal solution
The ratio of completed tasks to the scheduled tasks	0.8780	0.8182	0.9780	0.7143
The ratio of breakdowns caused by items that have should be inspected to	0.7579	0.8908	0.8725	0.7692
the total number of breakdowns				
Dollar amount of spare part usage	0.8000	0.8614	0.8812	0.7639
Total number of the spare part purchasing orders not filled on demand	0.7500	0.8969	0.8396	0.7914
Total number of the spare part purchasing orders filled on demand	0.9000	0.8080	0.9082	0.7483
Total number of rush spare part purchasing orders	0.8571	0.8286	1.0000	0.7051
Total number of planned maintenance work order	1.0000	0.7699	0.8900	0.7586
Total scheduled hours of maintenance work.	0.9114	0.8031	0.9271	0.7383
Total hours estimated on scheduled maintenance work orders	0.8675	0.8233	1.0000	0.7051
Total number of completed maintenance work order	0.8780	0.8182	0.9082	0.7483
Total number of completed maintenance work order that exceeding the	0.8780	0.8182	0.9570	0.7237
estimated material cost				
Total number of overdue maintenance work order	0.8471	0.8339	0.8396	0.7914
Total hours of equipment repair	0.8675	0.8233	0.9175	0.7432
Total hours of actual maintenance work	0.6667	0.9775	0.7876	0.8333
Total hours of preventive maintenance work	0.6486	1.0000	0.6593	1.0000
Number of equipment breakdowns	0.6792	0.9631	0.8558	0.7801
Number of repetitive equipment failures	0.7059	0.9355	0.9082	0.7483

strategies	TBM		СВМ	
Maintenance strategy	Ideal solution	Negative ideal solution	Ideal solution	Negative ideal solution
GRG Relative closeness distance (C <sub>i</sub> )	0.8271 0.9677	0.8547	0.9135 1.2143	0.7523

Table 5: The GRG and relative closeness distance of the maintenance strategies

#### DISCUSSION

The performance of the TBM and CBM strategies has rarely been evaluated in the semiconductor factory using maintenance indicators. Therefore, this study evaluates and compares the maintenance performance of both strategies and identifies the important maintenance indicators and the ranking of these indicators in semiconductor factories.

However, when the GRC of the ideal solution is relative close to the negative ideal solution, it might be difficult for decision-makers to evaluate the maintenance performance of each indicator. Therefore, future research could focus on a solution to this problem.

Empirical results indicate that the CBM strategy has better maintenance performance than the TBM strategy for semiconductor companies, suggesting that critical equipment or systems can apply to the CBM strategy to ensure maintenance reliability and reduce the risk and the semiconductor factories. This study also identifies which indicators should be improved in the TBM and CBM strategies, allowing managers to focus on these indicators to improve their maintenance performance.

#### CONCLUSION

This study applies the AHP, GRA and TOPSIS methods to evaluate the maintenance performance between TBM and CBM strategies. Before this study, few research focus on the performance evaluation of maintenance strategies in the semiconductor factory.

Actual data was collected from a famous semiconductor factory in Taiwan. Empirical results indicate that the CBM strategy has better maintenance performance than the TBM strategy for semiconductor companies and the maintenance indicators which should be improved of both strategies were also identified. The feasibility and the efficiency of the maintenance evaluation method were demonstrated through a real scenario.

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