### **Recent Status of Fishes in the Yangtze River and its Ecological Health Assessment**

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Article history Received: 02-12-2015 Revised: 01-03-2016 Accepted: 25-03-2016

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Abstract: The Yangtze River, ranking behind the Nile and the Amazon rivers, is the third longest river in the world. The Three Gorges Dam constructed on the Yangtze River dramatically altered the ecological environment in the surroundings. In this review, we integrated the published data about the Three Gorges Project and systematically analyzed the status of fish population in the Three Gorges Reservoir for recent fifteen years (2000~2014). Meanwhile, Fish Index of Biotic Integrity (F-IBI) system was used to assess the health risks to the ecological environment in the Three Gorges Reservoir Basin. This review indicated that the yields of natural fish catch decreased in the Yangtze River Basin (Dongting Lake, Poyang Lake, the downstream of the Three Gorges Dam and the Three Gorges Reservoir) during the recent fifteen years (2000~2014). The overall trends of natural fishing catch for Dongting Lake, Poyang Lake and the Three Gorges Reservoir went down. The fry runoff volume of four major Chinese carps in Jianli section decreased by about 80% from 2002 to 2003. In addition, the construction of the Three Gorges Dam left significant impacts on the populations of endemic fish and rare fish on the upper reaches of the Yangtze River. The F-IBI scores for the upstream Yangtze River gradually went down after 2003, but still maintained in general level. Our findings about the status of fish population and the ecological risk assessment of the Yangtze River, which will be helpful for Chinese government in decision making for the sustainable development of the Three Gorges Reservoir Area and comprehensive ecosystem management in the Yangtze River Basin.

**Keywords:** Three Gorges Project, Three Gorges Reservoir Region, The Yangtze River, F-IBI, Ecological Health

### Introduction

In China, the Three Gorges Dam, located in Yichang, Hubei province, is a hydroelectric dam that spans the Yangtze River. The Yangtze River Basin has numerous tributaries, rich in fish resources with wide basin areas (Xu *et al.*, 2012; Sun *et al.*, 2012; Guo *et al.*, 2012; Liu *et al.*, 2013; Bao *et al.*, 2015; Xu *et al.*, 2015; Ye *et al.*, 2014) (Fig. 1). The Three Gorges Project was started in December 1994, begun to generate power in 2003 and 2nd Phase construction was completed in 2009. Before the Three Gorges Project was launched, the Yangtze River was the habitat of 370 species of fish (294 species for pure freshwater fish, 22 species for salty freshwater fish, 9 species for sea freshwater migratory fish and 45 species for marine fish) (Chen, 2003). Although the Three Gorges Project has made great achievements in flood control, power generation, navigation and water resource management (Zhao and Liu, 2015; Ran *et al.*, 2011), but it has entirely changed the status of the Yangtze River Basin's hydrology and disturbed the balance of the intact ecosystem. Thus, the Chinese government pays close attention to the ecological restoration of the Three Gorges Reservoir, including fish population and ecological health problems.



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Chun-Jiao Lu et al. / American Journal of Environmental Sciences 2016, 12 (2): 86.93 DOI: 10.3844/ajessp.2016.86.93

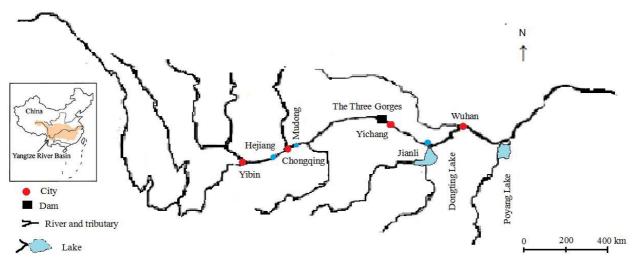


Fig. 1. The diagram of the Yangtze River Basin

In 2003, the construction of the Three Gorges Project Phase II was revamped for its water storage capacity, navigation system and power generation. Therefore, this construction time period is of critical importance for ecologists to analyze the data of fish yields in the Yangtze River from year 2000 to 2014. In this review, the fry runoff volume of native fish was scrutinized in the upstream Yangtze River, the Three Gorges Reservoir and the downstream of the Three Gorges Dam, Dongting Lake and Poyang Lake, respectively. We have also systematically analyzed the trends of natural fishing yield, commercial fish stocks, endemic fish and rare fish resources of the Three Gorges Reservoir for recent fifteen years (2000-2014). After survey and data analysis, Fish Index of Biotic Integrity (F-IBI) system was applied to assess the ecological risk of the Three Gorges Project to aquatic life in the Yangtze River. Comprehensive analysis of the impacts on fish in Yangtze River caused by Three Gorges Project will be helpful for the government and relevant departments to formulate environmental protection strategies. The yearly variations of ecological health will provide guidelines on how to use the Three Gorges Reservoir Area as resource for sustainable development in China and also set up a typical example for other sustainable hydraulic engineering in the world.

# Status of Fish Resources in Yangtze River Basin

### The Yields of Natural Fishing

The fishery resources data were excavated from the Bulletin on the Ecological and Environmental Monitoring Results of the Three Gorges Project from 2000 to 2014 (SEPA, 2001; 2002; 2003; 2004; 2005;

2006; 2007; 2008; 2009; 2010; 2011; 2012; 2013; 2014; 2015) to elucidate the yields of natural fish for the Three Gorges Reservoir, the downstream of the Three Gorges Dam, Dongting Lake and Poyang Lake. The natural fishing yield for Dongting Lake, Poyang Lake and the downstream of Three Gorges Reservoir showed a definite declining trend. The natural fishing yield for the Three Gorges Reservoir went down from 2000 to 2005, but the yields began to show an upward trend after 2005 (Fig. 2). The reason might have close relationship with fish artificial propagation and releasing or improved water quality after 2005. Interestingly, the rebounds of the natural fishing yield in Dongting Lake and Poyang Lake contributed to the increase point for the total natural fishing yields in 2010. Although the total natural fishing yields had some instability, the overall trend was still decreased (Fig. 2).

#### The Trends of Commercial Fish Resources

Four major Chinese carps (Mylopharyngodon piceus, Ctenopharyngodon idellus, Hypophthalmichthys molitrix and Aristichthys mobilis) are pivotal commercial fish in China (FRSCYRWS, 1990). The Yangtze River has the best breeding habitats for the four major Chinese carps. In 1960s, the spawning size of four major Chinese carps in Yangtze River was reached 115 billion (Yu, 1985). However, since the 1970s, the fish stocks in the Yangtze River have been declining. In 1980s, the spawning size of four major Chinese carps reached 17.35 billion in the middle of Yangtze River (SEPA, 2004). According to the published data (SEPA, 2001; 2002; 2003; 2004), the fry runoff volume of four major Chinese carps in Jianli (the middle reaches of the Yangtze River) was 2.854 billion. The year 2003 was the end point for the second phase of the Three Gorges Project and three goals: (1)

Impoundment, (2) shipping, (3) power generation were preliminarily achieved. However, the spawning of four major Chinese carps was adversely affected in consequence. As shown in Fig. 3, the fry runoff of four economic carps in Jianli section dramatically declined in 2003. In 2009, the fry runoff of the four major Chinese carps in Jianli section reached the decade's lowest yield (SEPA, 2010) (Fig. 3).

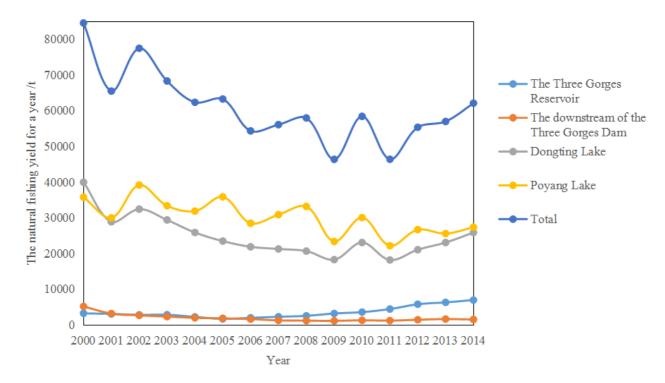


Fig. 2. Trend curve of natural fishing yield for the Yangtze River Basin from 2000 to 2014

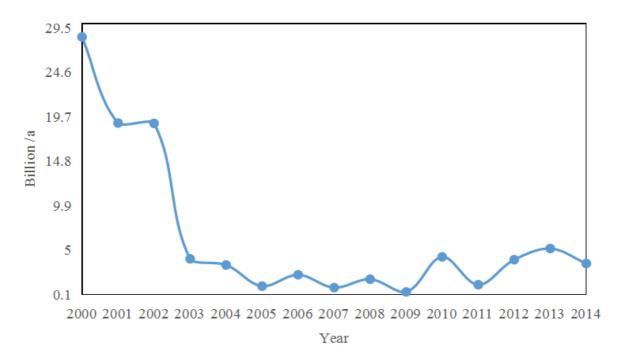


Fig. 3. The trend curve of fry runoff for four major Chinese carps in Jianli from 2000 to 2014

## *The Trends of Endemic Fishes in the Upper Yangtze River*

The source of the Yangtze is located in the Tanggula Mountains on the border of Qinghai Province and Tibet Autonomous Region. The upper reaches of the Yangtze River is an important breeding grounds for freshwater fish species. There are about 189 species of fish in the upper reaches of Yangtze River, of which more than 40 species are endemic before 1976 (STSGDFCR, 1982; Gao et al., 2013). The data analysis of the last 13 years portrayed significant variations for the endemic fish population in the upstream of the Yangtze River (Fig. 4). The upper reaches of the Yangtze River showed relatively stable trends, in the Yinbin (Yibin is located in the Yangtze River upstream) and Hejiang (Hejiang is located in the downstream of Yibin, but still belongs to the upstream of the Yangtze River) and the endemic fish populations were not greatly affected. However, the endemic fish species in the reservoir area was decreased and the Wanzhou (Wanzhou is in the downstream of the Hejiang), Zigui (Zigui is located in the downstream of the Three Gorges Dam) and the Yichang city (Zigui county belongs to Yichang city) also exhibited the decreasing trends for the endemic fish. Therefore, the construction of the Three Gorges Project had significant impacts on endemic fish population on the upper reaches of the Yangtze River (SEPA, 2001; 2002; 2003; 2004; 2005; 2006; 2007; 2008; 2009; 2010; 2011; 2012; 2013; 2014; 2015).

### The Changes of Rare Fishes in the Yangtze River

Most of rare fishes in the Yangtze River have been greatly threatened, due to construction of dams, wastewater pollution and ship transportation, such as Chinese sturgeon (*Acipenser sinensis*), Dabry's sturgeon (*Acipenser dabryanus*), Chinese paddlefish (*Psephurus* gladius) and Mullet (*Myxocyprinus asiaticus*) etc.

The number of Chinese sturgeon in the upper reaches of the Yangtze River was 450 in year 2000, which population reached almost half, compared to the population level of 1995. Therefore, the survival of Chinese sturgeon is facing serious threat in Yangtze River. Data from the Bulletin on the Ecological and Environmental Monitoring Results of the Three Gorges Project proved that the Chinese sturgeon had twice spawning activity on October 27 and November 9, in 2002. But the average of the annual spawning was significantly decreased, compared to 1998 and 1999. From 2004 to 2011, the spawning activity of Chinese sturgeon was noticed only once and the spawning increased by twice in 2012. By using the sonar technique, the number of Chinese sturgeon detected was quite low. The impounding period of the Three Gorges Reservoir was overlapped by the spawning activity of Chinese sturgeon. It indicated that the reduction of discharge caused by the impoundment of the reservoir resulted in the decline of spawning scale of Chinese sturgeon (Chen, 2007; Wu *et al.*, 2004; Fu *et al.*, 2003).

Currently, the fish catches of the Chinese paddlefish and the Dabry's sturgeon were almost zero in the upper reaches of the Yangtze River according to catch record. However, the Dabry's sturgeon used to be one of the leading commercial fishes in the Yangtze River before the mid-seventies. When the Three Gorges Project was initiated, the population size of rare fish is on the decline (SEPA, 2001; 2002; 2003; 2004; 2005; 2006; 2007; 2008; 2009; 2010; 2011; 2012; 2013; 2014; 2015). After 1995, the number of Dabry's sturgeon began to decrease sharply. In 2001, there was no Dabry's sturgeon in the Luzhou section of the Yangtze River Basin (Zhu et al., 2009). Fortunately, the number of mullet was relatively more in the conservation area. The upper reaches of the Yangtze River is no longer the mullet fingerlings concentrated area.

# The Change of the Fish Biological Integrity in Yangtze River

Biological integrity can be used to measure the changes in the composition of biologic communities to reflect the ecological complexity (Broecka et al., 2015; Lavoiea et al., 2014; Lee and An, 2014; Ondiviela et al., 2015; Wang et al., 2015). Ecosystem health assessment can be divided into two categories: Qualitative evaluation, quantitative evaluation. Index of Biotic Integrity (IBI) is a quantitative method to evaluate ecosystem health. In 1981, Karr developed a method to evaluate water quality by using biome species composition, trophic structure and individual health status indicators. He presented 12 indicators of the environmental health (Karr, 1981; Karr and Dudley, 1981; Faush et al., 1990). Integration of the complexity of the ecosystem and biological integrity can conduct a comprehensive analysis of the ecosystem health. Thus, IBI index system became a standard assay and developed to fish F-IBI, benthic fauna B-IBI and plankton P-IBI (Zhu and Chan, 2004; Uzarski et al., 2005. The Yangtze River Basin is rich in fish resource, therefore F-IBI will help to calculate and evaluate the biological integrity and ecological health rating of the Yangtze River (Zhu and Yang, 2013; Cui and Wan, 2006; Chen and Zhou, 2001; Qiao and Guo, 2012; Liu et al., 2007). Adapted F-IBI System and the score of biological integrity are shown in Table 1 and 2.

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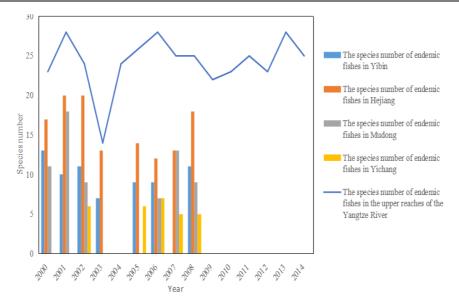


Fig. 4. The trend histogram of endemic fishes taxa in the upper reaches of the Yangtze River from 2000 to 2014

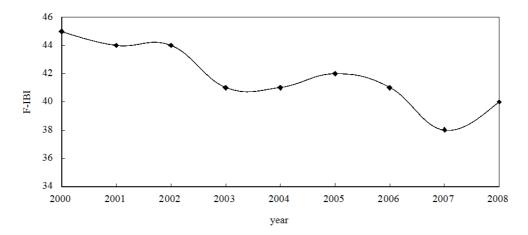


Fig. 5. The trend of F-IBI value for the upper reaches of the Yangtze River (Yibin to Yichang section) from 2000 to 2008

Attributes	Number	Indices	Scoring standards		
			5	3	1
Category structure	1	Total fish species	>50	30~50	<30
	2	Percent of <i>cyprinid</i> species	<55	55~70	>70
	3	Percent of <i>cobitidae</i> species	0~5	5~8	8~12
	4	Percent of bagridae species	5~15	15~20	>20
	5	Percent of tolerance individuals	<5	5~10	>20
	6	Species richness of fishing	>20	10~20	<10
Trophic structure	7	Proportion of omnivorous species	<55	55~70	>70
	8	Proportion of benthic fishes	>40	20~40	<20
	9	Proportion of piscivorous species	>15	5~15	<5
Richness and health	10	Yield per unit of fishery	>2	1~2	<1
	11	Proportion of invasive species	<1	1~2	>2
	12	Proportion of infectious disease and abnormal shape individuals	<2	2~5	>5

\*The Calculation method of F-IBI: Scores were calculated in terms of different attributes according to scoring standards, then the summation was made

Table 2. The classification of biological integrity based on F-IBI scores

IDI Scores	
F-IBI score	Biological integrity
58~60	Excellent
48~52	Good
40~44	Fair
28~34	Poor
12~22	Very poor
NF	No fish

According to F-IBI values for the Yangtze River Basin provided by Zhu and Chan (2004; Uzarski et al., 2005; Zhu and Yang, 2013; Cui and Wan, 2006; Chen and Zhou, 2001; Qiao and Guo, 2012; Liu et al., 2007), we integrated their data and deduced the ecological health status of the upper basin of the Yangtze River from 2000 to 2008 (Fig. 5). The score of F-IBI indicated that the ecological health of the Yangtze River was good in 2000. After 2000, the value of F-IBI was gradually decreased to the general level. There were two troughs in 2003 and 2007. Since the water level of dam was reached 135 meters for the first time in June 2003, this could be the one of the reasons for the less scores of F-IBI in 2003. After 2003, the Three Gorges Dam began to store water, resulted in fluctuations in water level. In consequence, the changes in the spanning activity was also observed. F-IBI is based on indicators of biological integrity of fish, therefore such changes deeply affect F-IBI. As the Phase II construction was started in 2003, it can be seen that the ecological health of the Yangtze River illustrated a downward trend in 2003 and 2007, but the major biological integrities were at a general level. In 2007, the average temperature in the Three Gorges Reservoir was high and the annual rainfall was above normal. These could be some of the reasons that influenced fish spawning and ultimately reduced F-IBI scores of the Yangtze River (SEPA, 2008).

### **Conclusion and Recommendation**

According to the reviewed data in this study, we found that natural fish catch in the Yangtze River was reduced in last fifteen years. The fry runoff volume for four major Chinese carps dramatically decline from 2000 to 2009 and rebounded in 2010, but the number of commercial fish in the upper reaches of the Yangtze River is far worse than before. The rare and endemic fish species of the upper reaches of the Yangtze River, such as Chinese sturgeon, Dabry's sturgeon, Chinese paddlefish and Mullet also dropped. During the period 2000 to 2008, the declining trends of F-IBI values of the upstream Yangtze River was distinct.

Although the Three Gorges project greatly improved flood control, power generation and shipping, but it has largely influenced the ecological environment. With the completion of the Three Gorges Project, some of the major ecological concerns have generated. Moreover, overfishing, agricultural non-point source pollution (NPS), industrial wastewater and municipal sewage are also major factors for fish resources decline in the Yangtze River Basin. In order to attain sustainable development status in the Three Gorges Reservoir Area, fish catch should be reduced. Fish artificial propagation and release may be reasonably improve the ecological health and fish diversity in the Yangtze River. Fortunately, Chinese government pays more attention to solve the ecological and environmental problems in the Yangtze River, especially in the Three Gorges Reservoir Area.

Finally, fish analysis can't fully assesses in-depth and detailed impacts of the Three Gorges Project on the Yangtze River Basin. To determine the effect of the Three Gorges Project on the Yangtze River fish and its basin's ecological health, researchers must scientific need long-term and cutting edge investigations to draw an experimental based empirical conclusion. This review indicates that the Three Gorges Project may have an impact on the Yangtze River fish and disturbed the ecological integrity of the Yangtze River Basin. Albeit, the specific aspects and the analysis of the pros and cons need more comprehensive research.

### Acknowledgement

The authors are grateful for supports from the Hundred Talents Program of Chinese Academy of Sciences, the Key Application and Development Program of Chongqing Science and Technology Commission (Grant No. cstc2014yykfC20004 and cstc2014yykfC20002), the Science and Technology Municipal Project of Chongging Education Commission (KJ1401202), the Science and Technology Project of Fuling District, Chongqing (FLKJ2013ABB2098), the Application and Development Program of Science and Technology Commission of Beibei District, Chongqing (2015-07), the Scientific Research Starting Foundation for Returned Overseas Chinese Scholars from Ministry of Education and the National Technology Foundation for Selected Overseas Chinese Scholar of Chongqing Human Resources and Social Security Bureau, China.

### **Author's Contributions**

Chun-Jiao Lu and Jin-Jing Duan: Contributed equally to the manuscript.

Muhammad Junaid and Tuan-Wu Cao: Revised the paper.

Shi-Min Ding: Conceived and designed this review. De-Sheng Pei: Conceived and designed this review, wrote the paper and revised the paper.

### **Conflict of Interest**

The authors declare no conflict of interest.

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